

REMARKS

Claims 1–40 were pending and were rejected. Claims 1, 17, and 49 were objected to. Claims 1, 17, and 38–40 are amended herein. Claims 36–37 are cancelled. New claims 41–47 are presented. Claims 1–35 and 38–47 are pending. Reconsideration is requested in view of the foregoing amendments and the following remarks.

Objections to the Drawings

The drawings were objected to for failing to show each feature of the claimed invention. A new drawing sheet, including Figs. 6 and 7 is attached. Figures 6 and 7 illustrate various methods for improving video processing specified in the claims. No new matter is added by these figures, as they are supported by material originally filed in the written description at paragraphs 0025–0030 (paragraphs 0027–0032 of the substitute specification). Withdrawal of the objection to the drawings is therefore requested.

Objections to the Specification

The specification was objected to for containing an embedded hyperlink. The embedded hyperlink has been deleted in the substitute specification. The substitute specification has been further amended to include references to the new figures where appropriate. No new matter has been added. A mark-up copy and clean copy of the substitute specification are attached. Withdrawal of the objection to the specification is therefore requested.

Objections to the Claims

Claims 1 and 17 were objected to for including quotation marks. The claims have been amended to remove these quotation marks. Claim 49 was objected to as improperly numbered. The claim number of claim 49 has been changed to claim 39 as requested. Withdrawal of the objections to the claims is requested.

Claim Rejections Under § 112

Originally filed claims 49 and 40, now claims 39 and 40, were rejected under 35 U.S.C. § 112, ¶ 1 as failing to comply with the enablement requirement. Applicants traverse. Claim 39 as amended is directed to a computer system operative to perform a method according to any one of claims 1–17, 34, and 41. Claim 40 is directed to a computer readable medium containing a

computer executable program operative to perform a method according to any one of the same claims.

In each case, the methods of the referenced claims are enabled by the specification. One skilled in the video processing arts would know and understand that such methods are often implemented using programmed computer systems of various types and would be able to implement the methods in a variety of computer systems as a routine undertaking. Rejection for lack of enablement is therefore improper. See, e.g., *In re Buchner*, 929 F.2d 660, 661, 18 U.S.P.Q.2d 1331, 1332 (Fed. Cir. 1991) (holding that a patent need not teach, and preferably omits, what is well known in the art). “The specification need not contain an example if the invention is otherwise disclosed in such a manner that one skilled in the art will be able to practice it without an undue amount of experimentation.” MPEP 2164.02 (citing *In re Borkowski*, 422 F.2d 904, 908, 164 U.S.P.Q. 642, 645 (CCPA 1970)).

Claims 34 and 35 were rejected under 35 U.S.C. § 112, ¶ 2 as being incomplete for omitting essential steps. Applicants traverse. The rejection identifies the purportedly admitted step as “How the determination of the orientation of the edges is determined.” First, the purported omission is not a step, but rather how a step is performed. Filtering edges in a second or fourth quadrant direction implicitly includes determining the orientation of edges. The claim does not and need not specify how this is done, as a variety of techniques are contemplated. Second, the rejection posed is proper only if the omitted matter is described as essential in the specification or other statements of record. MPEP 2172.01. The undersigned is aware of no such statement of record.

Reconsideration and withdrawal of the § 112 rejections is therefore requested.

Claim Rejections Under § 102

Claims 1–4, 5–12, 14–20, 22–29, 31–33, and 36–38 were rejected under 35 U.S.C. § 102(a) as anticipated by “Adaptive Deblocking Filter” by List et al. (“List”). Independent claims 1, 17, and 38 as amended (the independent claims of the cited range) each require applying a filter if the prediction direction is a second or fourth quadrant direction and not applying the filter otherwise. Applicants disagree that the claims as originally presented were not so limited. Nonetheless, to advance prosecution, the language has been amended to more clearly specify this limitation.

List contains no teaching or suggestion of filtering based on prediction direction. Therefore, the rejection of these claims as anticipated by List is improper. Reconsideration and withdrawal of the rejection is therefore requested.

New Claims

New claims 41–47 are presented. Independent claim 41 requires applying a filter “that reduces artifacts associated with intra block prediction in a second quadrant direction or a fourth quadrant direction and otherwise leaves the block unchanged.” List contains no teaching or suggestion of such a filter. The filter of List appears to be a conventional deblocking filter that is applied without respect to intra block prediction directions. Claims 41–47 are allowable over List for at least this reason.

Conclusion

Each of the pending claims includes one or more limitations neither taught nor suggested by the art of record. Specifically, each claim requires filtering blocks of video data to reduce artifacts associated with second or fourth quadrant intra prediction and otherwise minimize the effects of the filter. List discloses no such filter. Moreover, each of the pending claims is now in condition for allowance. Withdrawal of all pending objections and rejections and a notice of allowance are therefore requested.

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Respectfully submitted,

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Date

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APPLICATION FOR PATENT

TITLE: Adaptive Filter to Improve H.264 Video Quality
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SPECIFICATION

BACKGROUND OF THE INVENTION

1. Field of the Invention

[0001] This invention relates to interactive, streaming or broadcast digital video coding, and in particular relates to video coding in compliance with the ITU-T Recommendation H.264.

2. Description of the Related Art

[0002] Broadcast television, home entertainment and on-line video streaming have been revolutionized and unified by various video compression technologies. The ISO/IEC MPEG-4 (part 2 visual) and ITU-T H.263 are standards that represent state-of-the-art video compression and decompression technology from circa 2000. In the late 1990's and in parallel with development of H.263 version 3, technical work for a successor to the H.263 video coding standard began within the ITU-T's Video Coding Experts Group (VCEG). In December 2001, the MPEG video group together with VCEG formed a Joint Video Team (JVT) with the goal of leveraging the VCEG work to create a unified video coding standard. The JVT finished work on version 1 of the video coding standard known as ITU-T Recommendation H.264 and ISO/IEC 14496 10 AVC in 2003. ~~The ITU-T Recommendation H.264 is available at~~
~~http://www.itu.int/rec/recommendation.asp?type=items&lang=c&parent=T_REC_H.264-200305-P~~. It is hereby incorporated by reference.

[0003] The new standard surpasses earlier video standards in terms of compression efficiency and resilience to data loss. The improved data compression offers advantages in terms of bandwidth usage. Specifically, given the same video source input, the video pictures reproduced after coding/decoding in compliance with H.264 typically have the same quality as the video pictures reproduced after coding/decoding in compliance with the H.263, MPEG-2 or MPEG-4 (part 2) video coding standards while using approximately half the

bandwidth. The many application areas likely to benefit include videoconferencing, video broadcast, streaming and video on mobile devices, telemedicine and distance learning.

[0004] Even though H.264 represents a major breakthrough in the video compression technology, there are occasions, especially when coding at low data rates, when the video pictures reproduced after coding/decoding in compliance with the H.264 standard have visual artifacts. Some of those artifacts appear as localized light and dark regions (spanning a few pixels) located along borders or edges of fast-moving objects in the reproduced video pictures. The artifacts appear to make the edges “sparkle.” Each pixel in the “sparkle” artifact is referred to as a “sparkle pixel.” The artifacts appear more readily when the edges of the moving objects are oriented in certain directions. When the transmission bit rate is below a certain rate (dependent on the video source), the artifacts in the reproduced video pictures increase substantially, and may become very distracting.

[0005] It is desirable to identify the causes of the sparkling artifacts so as to identify a method and an apparatus to improve the video quality in order to reduce or eliminate the distracting sparkling artifacts in the reconstructed video pictures.

BRIEF SUMMARY OF THE INVENTION

[0006] The present invention identifies the cause of the distracting sparkling artifacts in the video pictures processed in compliance with the H.264 video decoding standard described above. The cause stems from a deficiency in the 4x4 intra prediction process associated with prediction modes whose prediction directions are not in the same general direction as the video raster scan. A method according to the present invention identifies three problem 4x4 intra prediction modes in the intra prediction process. Then, after decoding the bit stream according to the H.264 standard, the method applies a spatial filter, to specific regions in the decoded video pictures to significantly reduce or eliminate such artifacts. Many different filters may be used. A complimentary method of the present invention is to apply a different filter at another location in the video picture processing, e.g. before encoding the video pictures, such that the decoded pictures will have less or no distracting sparkling artifacts.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

[0007] A better understanding of the invention can be had when the following detailed description of the preferred embodiments is considered in conjunction with the following drawings, in which:

- [0008] Figure 1 depicts a sample 16x16 macroblock in a video picture.
- [0009] Figure 2 depicts a sample of a 4x4 block to be predicted, which is within the 16x16 macroblock.
- [0010] Figures 3.0-3.8 depict the 9 different intra-block prediction modes available according to H.264.
- [0011] Figures 4.0-4.8 depict the 9 different results from the sample as in Figure 2, after the prediction modes are applied.
- [0012] Figure 5 depicts the mode directions of the 9 modes.
- [0013] Figure 6 depicts a method of applying a filter to video blocks based on the direction of a prediction mode.
- [0014] Figure 7 depicts a method of applying a filter to video blocks based on the quantization parameter QP.

DETAILED DESCRIPTION OF THE INVENTION

[0015] Video pictures contain a very large amount of information. It is generally very cumbersome and even impractical to store or transmit raw video pictures. Raw video pictures are generally coded according to a certain scheme. The coded video pictures or bit streams are stored for later viewing or transmitted through a network for viewing at another location. When the video pictures need to be displayed, they are decoded in a reversed coding process and reproduced. H.264 standard defines one of the decoding schemes. A video codec here refers to the encoding and decoding of digital video pictures. A video processing scheme may have some features that are common among all video processing and some features that are peculiar to the scheme. For example, H.264 may have new and unique features, such as intra block prediction, but still uses common transform coding. It is discovered during the investigation that only the intra prediction process is related to the cause of the distracting sparkling artifacts, as will be discussed below.

[0016] In encoding and decoding video pictures according to the H.264 standard or most other video coding standards, the video pictures are processed macroblock-by-macroblock and macroblock row by macroblock row. Specifically, the processing starts in the first macroblock row (top-left corner) and proceeds to the end of the row (top-right corner). The subsequent rows are processed in a similar fashion (left to right). This ordering is often referred to as a raster scan order or simply a raster scan (such as in an analog TV). It follows that in any neighborhood, a pixel to the left is reproduced before a pixel to the right and a pixel above is reproduced before the pixel below. Therefore, the values of pixels to the

left or above are known before the values of the pixels to the right or below. For the convenience of discussion below, a direction from left to right horizontally is defined as the +X direction; a direction from top to bottom vertically is defined as +Y direction. A “first quadrant direction” is defined as a direction that lies between the +X direction and +Y direction, including +X direction and +Y direction. More specifically, any direction that starts out as a +X direction, rotates clockwise until it overlaps with the +Y direction, is a “first quadrant direction.” Any direction that starts out from the +Y direction (+Y direction not included), rotates clockwise until it overlaps with the -X direction (-X direction not included) is a “second quadrant direction.” Any direction that starts out from -Y direction (-Y direction not included), rotates clockwise until it overlaps the +X direction (+X direction not included), is a “fourth quadrant direction.”

[0017] Two of the features in a H.264 compliant codec that improve the codec’s coding efficiency are the inter-block prediction and the intra-block prediction. Each picture may have numerous pixels, which may be grouped into macroblocks and blocks. A macroblock represents a portion of a picture containing a 16x16 pixel region. A macroblock may be partitioned into sixteen (16) luma blocks each containing 4x4 luma pixels. Macroblocks may be coded with “inter-block” prediction, meaning that information from previously coded pictures is used to predict the content of the picture currently being coded. Macroblocks may also be coded with “intra-block” prediction, meaning that information from previously coded blocks in the same picture is used to predict the content of the block currently being coded. The intra-block prediction methods in H.264 are described in the H.264 standard, Section 8.3 Intra Prediction Process. The particular mode of intra-block prediction used in coding a particular block is determined by the features of the particular block. The selected mode of intra-block prediction is indicated in the encoded video bit stream. A H.264 compliant codec can then decode the video pictures using the indicated mode of intra-block prediction and reproduce the video pictures.

[0018] An H.264 compliant codec typically uses a Quantization Parameter (QP) to adjust the overall bit rate to achieve some target rate, i.e. channel bandwidth. The smaller the QP, the greater the amount of information conveyed in the encoded bit stream and the higher the corresponding bit rate. The larger the QP, the smaller the amount of information conveyed in the bit stream. Furthermore, the larger the QP, the poorer the quality of the decoded or reproduced video pictures. The choice of QP of a particular picture is related to, among other things, the complexity of the video picture, the degree of change between adjacent pictures, and the target bit rate.

[0019] In Figures 1 and 2, a portion of a sample picture is shown. Figure 2 shows a macroblock at the lower-right corner to be coded using intra-prediction. The pixels in the portions at the top or the right are presumably available for prediction.

[0020] Figures 3.0-3.8 depict the nine (9) different 4x4 intra-block prediction modes according to the H.264 standard. The actual algorithm for each mode is specified and mandated in Section 8.3.1.2.1 through Section 8.3.1.2.9 of the H.264 standard, which are incorporated by reference. Figures 4.0-4.8 illustrate the reproduced block for each prediction mode. For a particular block, the encoder will select the prediction mode that minimizes the difference error (error between the actual block and the predicted block). The selected prediction mode is indicated in the coded video bit stream, along with the residual. The residual is computed by taking a pixel-by-pixel difference between the predicted block and the block in the original video picture that is being coded. In the above illustration Figures 4.0-4.8, mode 7 (Fig. 4.7) is selected because the attributes of the block in the picture (bottom-left to top-right orientation) correspond to that particular prediction mode.

[0021] Figure 5 further illustrates the prediction directions of the above mentioned nine (9) intra-block prediction modes. A prediction direction is the direction from the base pixels to the predicted pixels. For example, in mode 0 (vertical), the predicted pixel is determined by the pixels vertically above it. Therefore, the prediction direction is vertically downward (as indicated in Figure 3.0), or the +Y direction. The prediction direction of mode 0 is a “first quadrant direction.” In mode 3 (diagonal down-left), the predicted pixel is determined by the pixels to the upper-right. Therefore, the prediction direction (as indicated in Figure 3.3 and Figure 5) is from upper-right to lower-left. The prediction direction of mode 3 is a “second quadrant direction.” Similarly, the prediction directions of modes 0, 1, 4, 5 and 6 are “first quadrant directions.” The prediction directions of modes 3 and 7 are “second quadrant directions.” The prediction direction of mode 8 is a “fourth quadrant direction.” In mode 2, the predicted pixel is the average of all pixels around the block. Therefore, mode 2 has no direction.

[0022] During the investigation into the causes of the sparkling artifacts in H.264 decoded video pictures, it is observed that the artifacts appear more pronounced when the scenes being coded require the quality of the H.264 coded video picture to be significantly reduced in order to achieve some fixed target data rate (i.e., a higher quantization parameter is used).

[0023] It is also discovered that the sparkling artifacts appear near the edges of fast-moving objects in the decoded and rendered video pictures. In the vicinity of the sparkling

edges of objects in a video picture, it is further discovered that the artifacts appear in edges having an orientation of diagonal down-left (mode 3), vertical-left (mode 7) and horizontal-up (mode 8) as defined in the H.264 standard or as we have defined here, in the second or fourth quadrant directions. The vicinity of an edge of an object in a picture is an area in the picture zero to several pixels (for example 4 pixels for pictures in a CIF format) away from the edge of the object. The vicinity of the edge may include the area both above/left to the edge, and down/right to the edge. When the sparkling artifacts appear near an edge of an object, appreciable amount of the total pixels in the vicinity of the edge are sparkle pixels.

[0024] It is discovered that when modes 3, 7 or 8 are used, the resulting reproduced pictures have substantial sparkling artifacts. It is also discovered that these three prediction modes all have prediction directions that are second or fourth quadrant directions. The prediction directions of these three modes are, to some extent, against the direction of the raster scan. The other five 4x4 intra prediction modes (modes 0, 1, 4, 5 and 6) have prediction directions that are first-quadrant directions, which are generally in the same direction as the raster scan. The remaining prediction mode, mode 2, does not have an associated direction.

[0025] Because the prediction directions in the three problem modes are not in the same general direction as the raster scan, some of the pixels, which would ideally be used to predict the pixels in the current block, are not available. Instead, pixels from non-optimal locations are used for prediction. Consequently, when a 4x4 intra prediction employs one of these three modes, the residual tends to be large (as a result of the poor prediction). If the residual is coded with too high a QP value, visually objectionable sparkling artifacts result. The high QP value may result when the available video bandwidth is insufficient to effectively code a video scene.

[0026] Once the cause of the sparkling artifacts is identified to be the use of an intra-block prediction mode whose prediction direction is a second or fourth quadrant direction, a solution may be found. In the case of H.264, the cause of the sparkling artifacts is the use of three specific 4x4 intra-block prediction modes, i.e. modes 3, 7 and 8. A spatial filter is used to reduce the visibility of the sparkling artifacts. Specifically, the filter is designed to smooth the regions in the decoded video picture corresponding to the use of the three problem 4x4 intra prediction modes. It may be beneficial to filter the entire 16x16 macroblock when one or more of its 4x4 blocks are identified as needing filtering.

[0027] An example process for applying this filtering is illustrated in Fig. 6. One simple way to reduce the artifact caused by the problem 4x4 intra prediction is to put a filter

(block 604) after decoding (block 601). In one embodiment of the current invention, a simple 3-tap linear Finite Impulse Response filter (FIR) may be used, which may be $[1/4, 1/2, 1/4]$. The overhead caused by this additional processing is typically small and the distracting sparkling artifacts are reduced significantly. To reduce the coding artifacts further, other more sophisticated filters may be used, for example, a vertical filter (instead of a horizontal filter), a FIR filter with more taps, or a 2-dimensional FIR filter.

[0028] During the decoding process, it is determined whether a given macroblock is 4x4 intra coded (block 602a). If the macroblock is 4x4 intra-coded, then the specific prediction mode is identified (block 602b). If the prediction mode happens to be one of the three problem modes (block 603), then an additional post-decoding step (block 604) is performed prior to rendering the decoded video picture. Specifically, the decoded video picture is passed through a predetermined spatial filter designed to mitigate the distracting sparkling artifacts. In the embodiment discussed above, the spatial filter is a 3-tap FIR with tap weights $[1/4, 1/2, 1/4]$. With the application of the spatial filter, substantial amount of the sparkle pixels in the reproduced pictures may be eliminated. The effectiveness of the sparkle pixel reduction process depends on the complexity of the scene being coded as well as the filter characteristics. In some cases, virtually all of the sparkling artifacts can be eliminated.

[0029] Instead of using a post-decoding filter, a pre-encoding filter may be applied. The filter would be designed to eliminate or reduce the potential residual that would likely result in the selection of one of the three problem 4x4 intra block prediction modes during the encoding process. The filter would be designed such that it would only smooth in the vicinity of the boundaries or edges whose orientation corresponds to the second or fourth quadrant directions. The filter would have little or no effect on picture regions that do not have such boundaries or edges. After being modified (smoothed) by this pre-filter, the video picture could have features that are less likely to be encoded using one of the three problem intra-block prediction modes. Even if these predicted modes are chosen, the pre-filtering will result in a lower amount of residual energy (as compared to the coding of the non-filtered image) which in turn would reduce or eliminate the sparkling artifacts. The filtered video pictures will be encoded and decoded according to H.264 as usual.

[0030] As indicated above, the sparkling artifacts are not problematic when there are enough bits to effectively code the residual. In this sense, the coding artifacts are related to the bandwidth, but are not entirely determined by the bandwidth. Some scenes, for example, a lecture with a single speaker and a plain background at CIF (352x288) resolution, coded at low bandwidths (e.g. 128Kbps) would not exhibit significant sparkling artifacts, because

enough bits would be available to effectively code the residual. Other, more complex scenes such as a basketball game might exhibit the sparkling artifacts even at high bandwidths (e.g. 1024 Kbps), because the complexity of the scene does not leave enough bits to adequately code the residual.

[0031] It is discovered that QP is a good indicator of whether there will be significant sparkling artifacts in the reproduced video pictures. Specifically, if one of the 3 problem intra prediction modes is selected (determined in blocks 702 and 704) and the QP is large, the residual will most likely not be coded accurately enough to compensate for the poor prediction. In this regard, the QP value can be used to selectively apply the artifact reduction process, as illustrated in Fig. 7. If the QP is below a given threshold (corresponding to a “No” decision in block 703), the sparkling artifacts may be insignificant and tolerable and the artifact reduction process is not needed. In this situation, the artifact reduction process is not activated (block 705). If the QP is above the threshold (corresponding to a “Yes” decision in block 703), then the artifact reduction process is needed. In this situation, the artifact reduction process is activated (block 706). The additional process for artifact reduction is inserted, after the decoding process (block 701), but before the displaying process (not shown), in the post-filter embodiment. The selection of a particular QP threshold is a design choice. It represents a balance between computational overhead, the picture sharpness and the amount of allowable coding artifacts. The threshold value of QP may vary depending on the type of video: smooth or highly detailed, stationary or full of motion. With respect to the H.264 codec, a QP threshold between 20 and 35 represents a good compromise. The QP threshold may also be adjusted depending on the reproduced pictures.

[0032] The present invention is not limited to the improvement of video pictures processed by the H.264 codec. The present invention may be equally applicable to any picture processing where $n \times m$ intra-block prediction method is employed, especially in real-time video broadcasting or real-time video conferencing applications. n and m may be any integers which are greater than 1. The particular intra-block prediction mode selected to code a particular block is determined by the picture features of the block. For example, a border line in the direction of lower-left to upper-right in the block will mandate the selection of a mode with prediction direction of lower-left to upper-right, i.e. in the second quadrant direction or fourth quadrant direction. These features are not aligned with the raster scan direction. When a picture region is predicted using an intra-block prediction mode that has a prediction direction that is not in line with the raster scan direction, then the prediction is poor, i.e. the residual is large. When the QP is large, i.e. there are not enough bits to encode

the residual, significant amount of visually distracting artifacts will appear. A suitable smoothing filter, either a pre-encoding filter or a post-decoding filter, may be added to reduce or eliminate these types of artifacts.

[0033] While illustrative embodiments of the invention have been illustrated and described, it will be appreciated by those skilled in the art that various changes can be made therein without departing from the spirit and scope of the invention.